

Softerra Adaxes Enterprise Directory Solution

SOX Compliance

make the complex simple



Achieving SOX Compliance using Adaxes

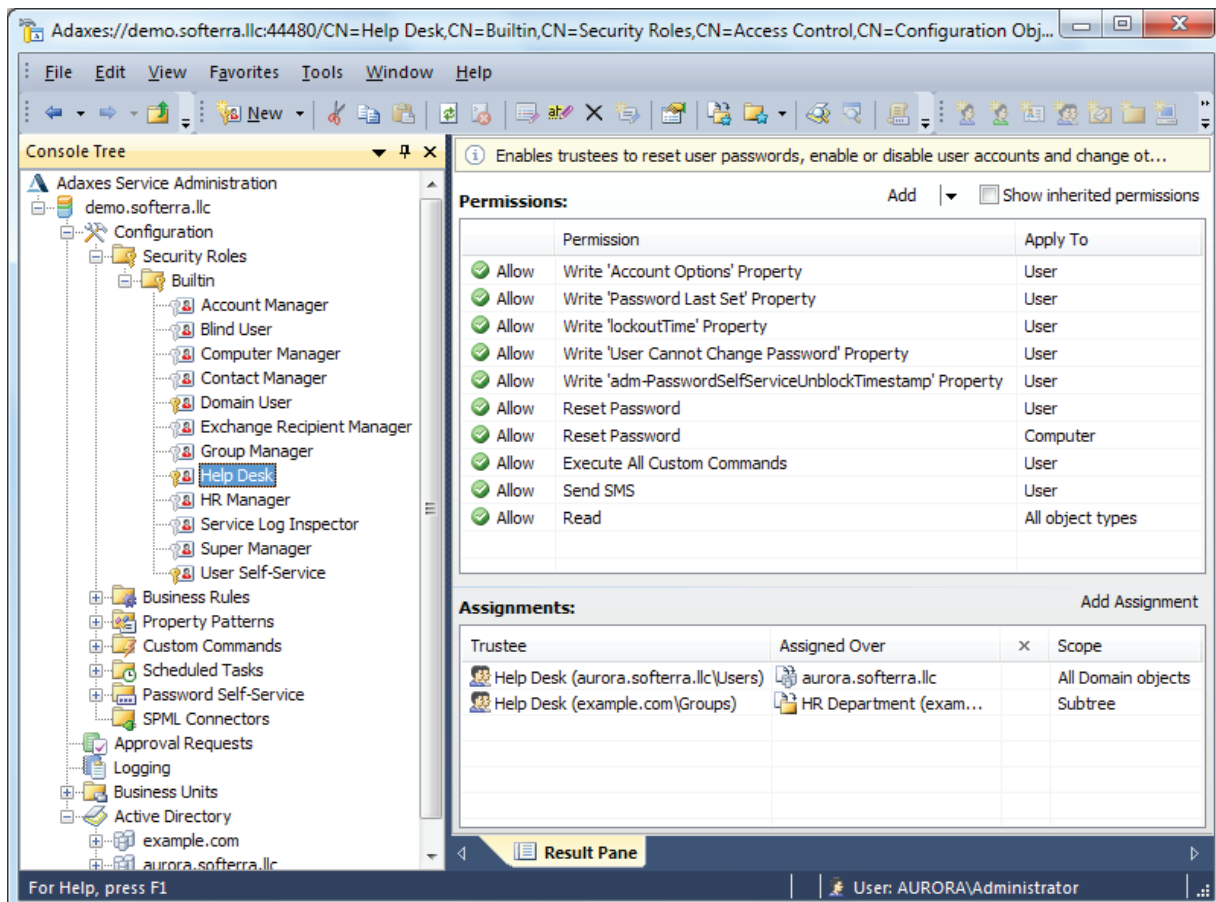
The Sarbanes-Oxley Act was enacted in July 2002 as a reaction to a number of major corporate and accounting scandals. This act set new or enhanced standards for all U.S. public company boards, management and public accounting firms and imposed stiff penalties for those who fail to ensure the accuracy of their financial reports or who obstructs fraud investigations by destroying or altering records.

The key compliance requirements of the act are establishing strong internal controls and maintaining policies and procedures that ensure accuracy of the financial reports. Today, managing internal controls mostly means providing a secure and reliable identity and access management solution. So, implementing and maintaining internal controls becomes the responsibility of IT professionals.

Softerra Adaxes is a solution that facilitates and streamlines Active Directory management thus supporting internal control implementing and maintaining. Improved security management, logging, reporting and backup-restore capabilities allow organizations to comply with controls of Section 404 of Sarbanes-Oxley Act and assure the accuracy of corporate financial and business information.

Achieving SOX Compliance using Adaxes

Granular distribution of Active Directory permissions via Softerra Adaxes allows you to minimize the number of users with elevated native AD permissions, and thus reduce security risks related to inappropriate permissions.



Comprehensive Reporting

Extensive list of Active Directory reports allows you to collect information on essential data in Active Directory. Reports related to inactive or disabled user accounts, users with non-expiring passwords, groups without members allow you to identify and eliminate security risks.

The screenshot displays the Active Directory Reporting console interface. At the top, there is a navigation bar with the text "YOUR LOGO" on the left and "Administrator | Help | Support | Sign out" on the right. Below this is a secondary navigation bar with buttons for "Home", "Search", "Reports", "My Favorites", and "Basket". A third navigation bar contains dropdown menus for "User Reports", "Password Reports", "Group Reports", "Computer Reports", "Organizational Unit Reports", and "Exchange Reports".

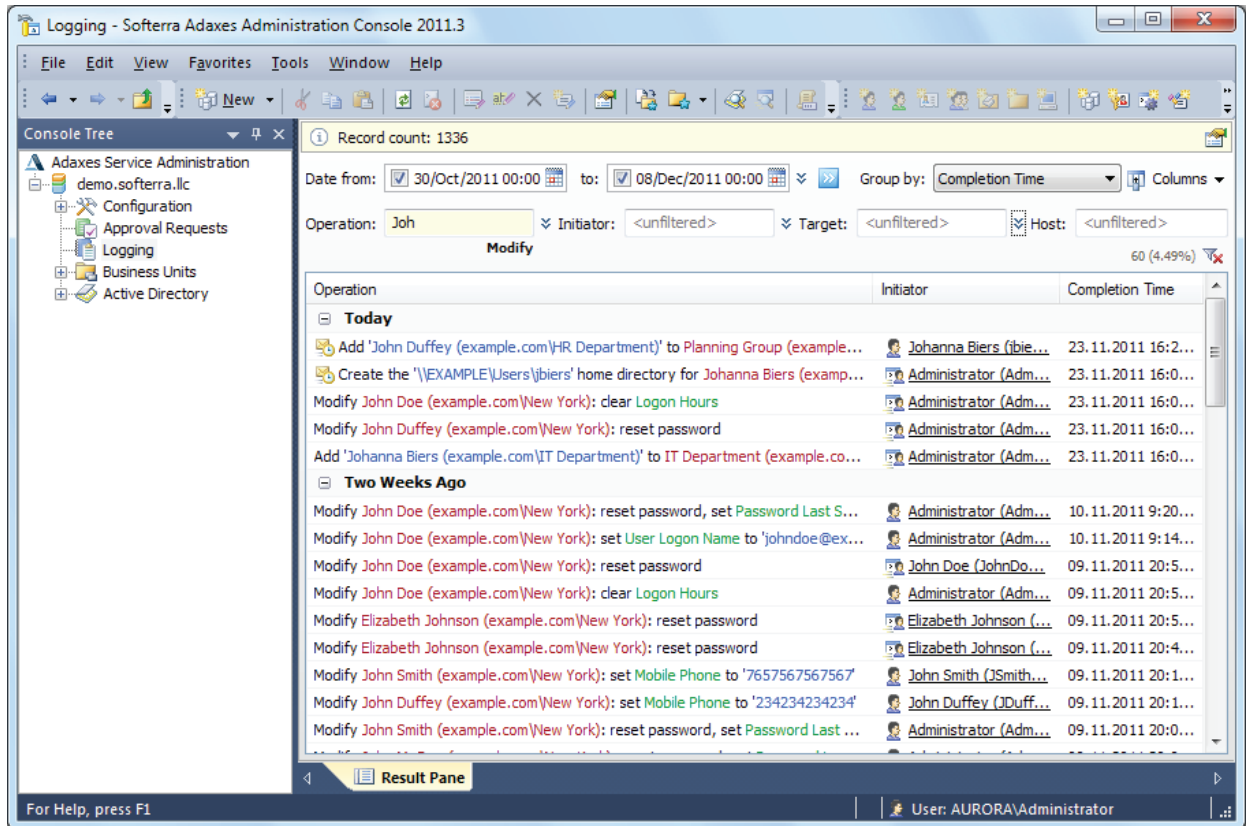
The main content area is divided into several sections:

- User Reports:** Contains two columns of links. The "General" column includes: All Users, Recently Created Users, Recently Modified Users, Users without Manager, Users with Specified Manager, Recently Logged On Users, and Never Logged On Users. The "Account Status" column includes: Enabled/Disabled User Accounts, Locked Out User Accounts, Expired User Accounts, Recently Expired User Accounts, Inactive Users, Soon-to-Expire User Accounts, and Non Expiring User Accounts.
- Password Reports:** Contains two columns of links. The "General" column includes: Recently Bad Logged on Users, Users Who Cannot Change Their Password, and Users Whose Passwords Never Expire. The "Password Status" column includes: Users with Expired Passwords, Users with Soon-to-Expire Passwords, Users with Changed Passwords, and Users with Unchanged Passwords.
- Group Reports:** Contains a "General" column with links: All Groups, Groups without Members, Group Members, Managed Groups, and Unmanaged Groups.
- Computer Reports:** Contains two columns of links. The "General" column includes: Workstation Computers, Domain Controllers, Computers by Operating System, Computers Trusted for Delegation, Recently Created Computers, Recently Modified Computers, Managed Computers, and Unmanaged Computers. The "Account Status" column includes: Inactive Computers and Enabled/Disabled Computers.
- Organizational Unit Reports:** Contains a "General" column with links: All OUs, Empty OUs, and OUs with Users Only.

On the right side of the interface, there is a "quick search" input field and a "My Panel" section with a list of links: My Favorites, My Properties, My Team, My Department, My Managed Objects, My Approvals, My Requests, and My Settings.

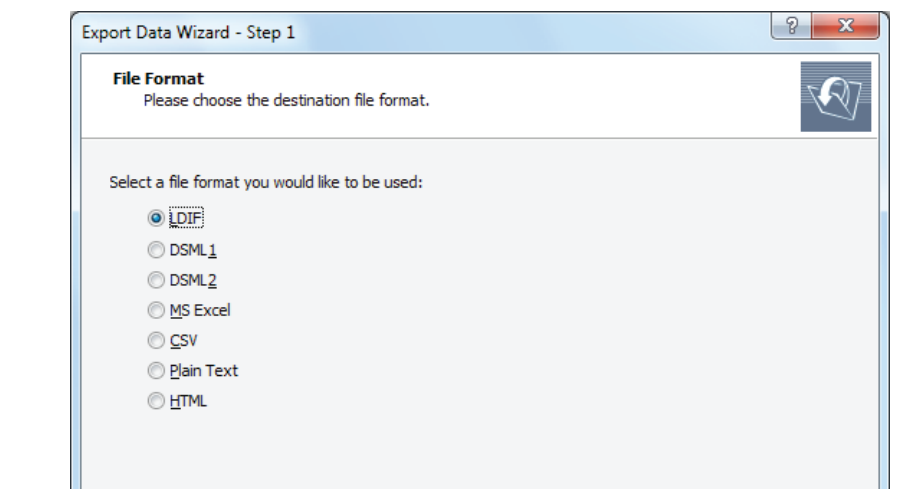
Tracking Changes in Active Directory

Any change performed in Active Directory via Adaxes can be tracked. Such operations as password reset, change of group membership, change of permissions can be easily reviewed. For critical operations, email notifications or approval requests can be sent.



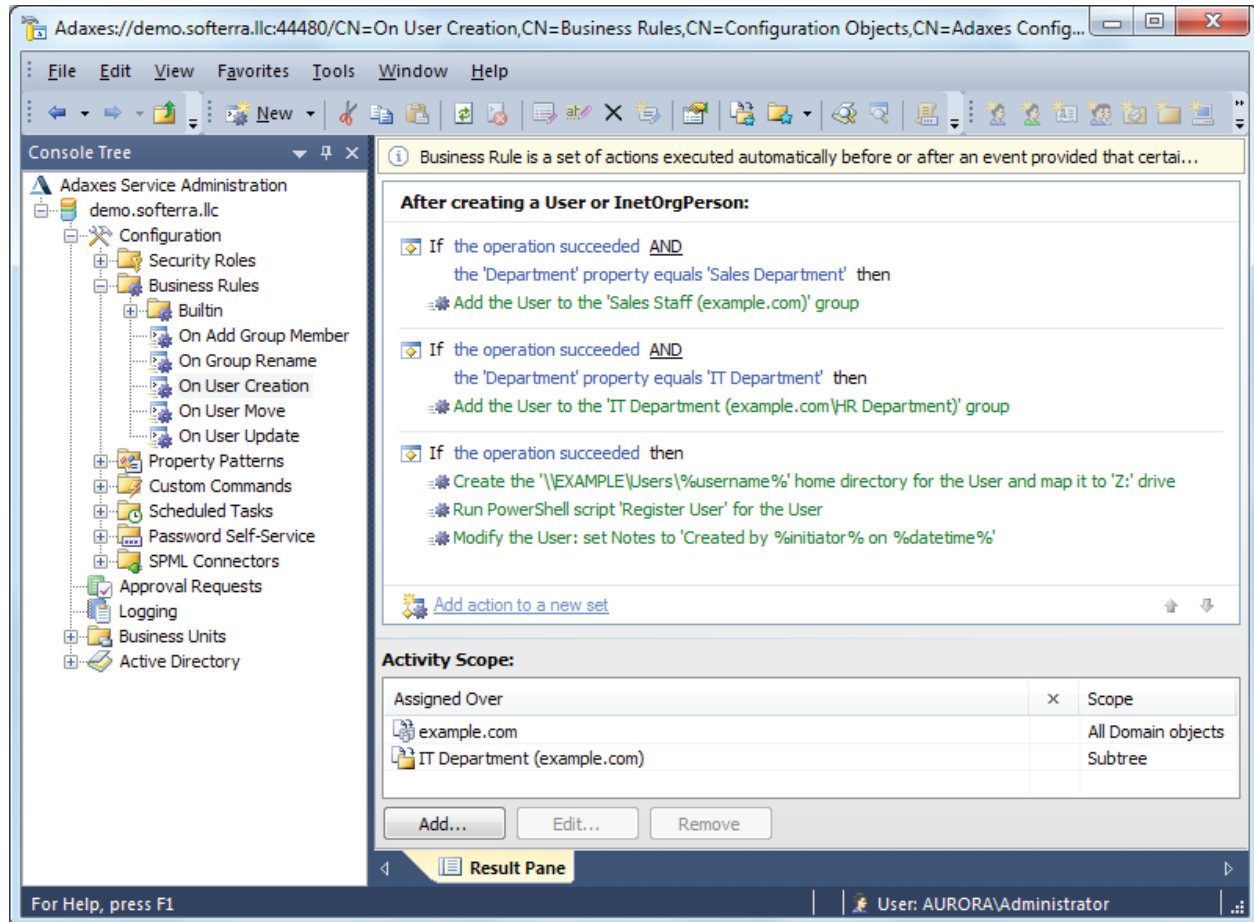
Backup and Restore Capabilities

Using Softerra Adaxes you can perform periodic manual or scheduled backup of Active Directory data to avoid possible loss of information.



Automating Internal Controls

Softerra Adaxes allows you to automate implementing and maintaining internal controls with its extensive automation functionality. Automation not only contributes to the controls efficiency, but also reduces the costs of maintaining compliance.



Much more from Softerra Adaxes

Softerra Adaxes provides lots of other helpful features and improvements to automate and secure AD management, maintenance and administration. With its enhanced and granular role-based security, automation capabilities, approval-based workflow, and enterprise policies enforcement rules, Softerra Adaxes grants efficient user lifecycle management for your enterprise. For more information, see [Softerra Adaxes Features](#).

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